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|------------------------------|---|----|---|------------------------|-------------------|
| Substitute for form 1449/PTO |   |    |   | Complete if Known      |                   |
|                              |   |    |   | Application Number     | 10/537,963        |
|                              |   |    |   | Filing Date (Int'l)    | December 11, 2003 |
|                              |   |    |   | First Named Inventor   | Andrew C. CHAN    |
|                              |   |    |   | Art Unit               | 1633              |
|                              |   |    |   | Examiner Name          | J. Li             |
| Sheet                        | 1 | of | 1 | Attorney Docket Number | 146392000400      |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| 1.                    |                       | US-2002/0128448   | 09/2002                        | Reff   |   |

| FOREIGN PATENT DOCUMENTS |                       |  |                                |  |   |
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| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear |
|                          |                       |  |                                |  | T <sup>6</sup>  |

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| NON PATENT LITERATURE DOCUMENTS |                       |   |  |  |                |
|---------------------------------|-----------------------|---|--|--|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  | T <sup>2</sup> |
|                                 | 2.                    | International Search Report for PCT/US03/40426, mailed 7 April 2005, 4 pages  |  |  |                |
|                                 | 3.                    | LIU et al., "Production of a Mouse-Human Chimeric Monoclonal Antibody to CD20 with Potent Fc-Dependent Biological Activity", The Journal of Immunology (1987) 139(10):3521-3526   |  |  |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|--------------------|-----------------------------|-----------------|
| Examiner Signature | /Q. Janice Li/ (03/24/2008) | Date Considered |
|--------------------|-----------------------------|-----------------|

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /qjl/